Pilot-Symbol Assisted Power Delay Profile Estimation for MIMO-OFDM Systems

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Abstract: This letter proposes a power delay profile (PDP) estimation technique for linear minimum mean square error (LMMSE) channel estimator of multiple-input multiple-output orthogonal frequency division multiplexing (MIMO-OFDM) systems. For practical applications, only the pilot symbols of all transmit antenna ports are used in estimating the PDP. The distortions caused by null subcarriers and an insufficient number of samples for PDP estimation are also considered. The proposed technique effectively reduces the distortions for accurate PDP estimation. Simulation results show that the performance of LMMSE channel estimation using the proposed PDP estimate approaches that of Wiener filtering due to the mitigation of distortion effects.

Index Terms: Channel estimation, power delay profile, MIMO, OFDM, 3GPP-LTE

1. Introduction

MULTIPLE-INPUT multiple-output orthogonal frequency division multiplexing (MIMO-OFDM) is one of the most promising techniques for wireless communication systems, including the 3rd Generation Partnership Project Long Term Evolution (3GPP LTE) [1], [2] and IEEE 802.16 (WiMAX). MIMO-OFDM provides a considerable performance gain over broadband single-antenna systems by obtaining the spatial diversity or multiplexing gain [3], [4]. Most receiver techniques of MIMO-OFDM systems are designed with the assumption that channel state information (CSI) is available, in order to achieve the maximum diversity or multiplexing gain [5]-[7]. The performance gain depends heavily on accurate channel estimation, which is crucial for the MIMO-OFDM systems.

The pilot-aided channel estimation, based on the linear minimum mean square error (LMMSE) technique, is optimum in the sense of minimizing mean square error (MSE) when the receiver knows the channel statistics [8]. To obtain the frequency domain channel statistics at the receiver, power delay profile (PDP) estimation schemes have been proposed [9], [10]. These schemes are based on the maximum likelihood (ML) estimation by taking advantage of the cyclic prefix (CP) segment of OFDM symbols. However, the ML PDP estimators require very high computational complexity for obtaining an accurate PDP.

Another approach for improving the performance of LMMSE channel estimation employs an approximated PDP (i.e., uniform or exponential model) with the estimation of second-order channel statistics, which are mean delay and root-mean-square (RMS) delay spread [11]. The channel delay parameters are estimated using pilots with low computational complexity. Therefore, the LMMSE channel estimator with the approximated PDP is appropriate for practical applications such as a WiMAX system. However, the performance degradation is caused by both the correlation mismatch and the estimation error of delay parameters.

To reduce the mismatch in the frequency domain, we propose a PDP estimation technique for the LMMSE channel estimator of MIMO-OFDM systems. For practical applications, the proposed technique uses only the pilot symbols of all transmit antenna ports to estimate the PDP with low computational complexity. In addition, the proposed technique effectively mitigates the distortion effects, incurred by null subcarriers and an insufficient number of estimated channel impulse response (CIR) samples. Simulation results show that the performance of LMMSE channel estimation with the proposed PDP estimate approaches that of Wiener filtering.
II. System Model

The system under consideration is a MIMO-OFDM system with C transmit and D receive antennas, and \(< C \) total subcarriers. Suppose that the MIMO-OFDM system transmits \(< C \) subcarriers at the central spectrum assigned for data and pilots with \( < - C \) virtual subcarriers, in order to control interferences with other systems. The CIRs corresponding to different transmit and receive antennas in MIMO systems usually have the same number of pilots [12].

Let \( \mathbf{r}_n[n, T_n] \) be the pilot subcarrier for the \( n \)th transmit antenna at the \( T_n \)th OFDM symbol, which is a QPSK modulated signal from known sequences between the trans-mitter and receiver. We assume that the pilot subcarriers are distributed over a time and frequency grid as in Fig. 1, to preserve the orthogonality of pilots among different transmit antennas. \( \mathbf{r}_n \in \mathbb{F}_n \) and \( \mathbf{T}_n \in \mathbb{N} \) represent the index sets for the pilot subcarriers of the \( n \)th antenna port in the frequency and time domains, respectively. At the \( T_n \)th OFDM symbol, the number of pilot subcarriers is defined as \( \leq \mathbf{f} \). The pilot inserted OFDM symbol is transmitted over the wireless channel after performing an inverse fast Fourier transform (IFFT) and adding a CP. It is assumed that the length of CP, \( = \mathbf{f} \), is longer than the channel maximum delay, \( \leq \mathbf{a} \), making the channel matrix circulant (\( \leq \mathbf{a} \)). At the receiver, after perfect synchronization, the removal of CP, and FFT operation, the received pilot symbol for the \( d \)th receive antenna can be represented as

\[
\mathbf{y}_d[T_n] = \mathbf{L}_D \mathbf{F}_D \mathbf{b}_n \mathbf{n}_{n,0} + \mathbf{n}_d, \tag{1}
\]

where \( \mathbf{h}_{p,q} = [\mathbf{h}_{p,q}[\mathbf{g}_p, 0], \mathbf{h}_{p,q}[\mathbf{g}_p, 1], \ldots, \mathbf{h}_{p,q}[\mathbf{g}_p, \mathbf{f}_h], 0, \ldots, 0]^\mathbf{T} \) is an \( = \mathbf{f} \times 1 \) CIR vector at the \( n \)th transmission antenna and \( d \)th receive antenna. \( (\cdot)^T \) and \( (\cdot)^\mathbf{H} \) represent the transpose operation, and the transpose and conjugate operation of a vector or matrix, respectively. \( \mathbf{x}_n[\mathbf{g}_p \mathbf{m}_p \mathbf{q}_p \mathbf{n}_p \mathbf{r}_p \mathbf{m}_p] = \mathbf{W}_0 \mathbf{F}_D \mathbf{b}_n \mathbf{n}_{n,0} \) denotes a pilot vector at the \( T_n \)th OFDM symbol for \( \mathbf{g}_p \in \mathbb{F}_n \) and \( r_p = 1, 2, \ldots, n \). 

![Fig. 1. Pilot symbol assignment in a physical resource block (PRB) of the LTE OFDM system.](image)

III. Proposed Method for the PDP Estimation

A. Derivation of the PDP in MIMO-OFDM Systems

From (1), the CIR at the \( (a, d) \)th antenna port can be estimated approximately using the regularized least squares (RLS) channel estimation with a fixed regularization length of \( \mathbf{r} \) as

\[
\mathbf{h}_{a,d} = \frac{\mathbf{c}_D^H \mathbf{F}_D + \mathbf{c}_D}{\mathbf{F}_D^H \mathbf{L}_D \mathbf{F}_D} \mathbf{y}_d[T_n]. \tag{2}
\]

B. PDP Estimation in Practical MIMO-OFDM Systems

The received sample vector in (5) can be expressed as

\[
\mathbf{g}_{a,d} \mathbf{m}_{a,d} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{H}_a \mathbf{n}_{a,d} + \mathbf{n}_{a,d}, \tag{7}
\]

where \( \mathbf{n}_{a,d} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{n} \) is the AWGN vector at the \( (a, d) \)th antenna port on the \( T_n \)th OFDM symbol, and \( \mathbf{W} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{D} \) is the distortion matrix. In addition, the distortion matrix is a well-conditioned matrix. Hence, the distortion of \( \mathbf{W} \) can be eliminated as

\[
\mathbf{p}_{a,d} = \mathbf{T}^{-1} \mathbf{p}_{a,d} = -\mathbf{g}_{a,d} \mathbf{m}_{a,d} - \mathbf{g}_{a,d} \mathbf{m}_{a,d}^\mathbf{H} \tag{6}
\]

where \( \mathbf{n}_{a,d} \mathbf{m}_{a,d} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{n} \) is the received sample vector for estimating PDP at the \( (a, d) \)th antenna port on the \( T_n \)th OFDM symbol, and

\[
\mathbf{g}_{a,d} \mathbf{m}_{a,d} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{H}_a \mathbf{n}_{a,d} + \mathbf{n}_{a,d}, \tag{7}
\]

where \( \mathbf{n}_{a,d} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{n} \) is the AWGN vector at the \( (a, d) \)th antenna port on the \( T_n \)th OFDM symbol, and \( \mathbf{n}_{a,d} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{n} \) is the AWGN vector at the \( (a, d) \)th antenna port on the \( T_n \)th OFDM symbol, and

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\[
\mathbf{g}_{a,d} \mathbf{n}_{a,d} = \mathbf{T}^{-1} \mathbf{W}_0^\mathbf{H} \mathbf{n} \]
where $\theta=0.001$ is a small regularization parameter, and $I_{2\times2}$ is the $2\times2$ identity matrix. $\Phi_n\Phi_n$ in (2) is ill-conditioned due to the sparsity of pilot tones in the frequency domain and the presence of virtual subcarriers [8]. To derive the PDP from the estimated CIR in (2), the ensemble average of $\Phi_n\Phi_n$ is given by

$$R_{\Phi_n\Phi_n} = \mathbb{E}[\Phi_n\Phi_n^H] = \mathbf{W}\mathbf{R}_\mathbf{W} \mathbf{W}^H + \mathbf{W}\mathbf{R}_{\mathbf{W},\mathbf{W}}\mathbf{W}^H,$$

where $\mathbf{R}_{\mathbf{W}} = \mathbb{E}[\mathbf{w}\mathbf{w}^H]$ and $\mathbf{W} = (\Phi_n\Phi_n + \sigma_k^2 I_{2\times2})^{-1}\Phi_n\Phi_n$. Note that the diagonal elements of the channel covariance matrix, $R_{\Phi_n\Phi_n}$, represent the PDF of multipath channel within the length of $\tau_r$ and all off-diagonal elements are zero. Hence, the covariance matrix can be expressed as

$$R_{\Phi_n\Phi_n} = \mathbf{L}^H \mathbf{P} \mathbf{L},$$

where $\mathbf{P} = \begin{bmatrix} \mathbf{P}_0 & \mathbf{P}_1 & \cdots & \mathbf{P}_{\tau_r-1} \end{bmatrix}$ and $\mathbf{L} = \begin{bmatrix} \mathbf{l}_0 & \mathbf{l}_1 & \cdots & \mathbf{l}_{\tau_r-1} \end{bmatrix}$. Unfortunately, $R_{\Phi_n\Phi_n}$ is distorted by $\mathbf{W}$, which is an ill-conditioned matrix due to the presence of $\sigma_k^2 I_{2\times2}$. Thus, instead of calculating $\mathbf{W}\mathbf{R}_{\mathbf{W}} \mathbf{W}^H$, we investigate the method for eliminating the spectral leakage of $\mathbf{W}$.

The covariance matrix of the estimated CIR is defined as

$$\mathbf{R}_{\mathbf{CIR}} = \mathbf{W}\mathbf{R}_{\mathbf{W}} \mathbf{W}^H,$$

which can be expressed as

$$\mathbf{R}_{\mathbf{CIR}} = \sum_{\tau_r} \mathbf{W}\mathbf{R}_{\mathbf{W}} \mathbf{u}_\tau \mathbf{u}_\tau^H,$$

where $\mathbf{u}_\tau$ is a unit vector with the $\tau_r$ entry being one and otherwise zero. Let $\mathbf{P} = \begin{bmatrix} \mathbf{p}_0 & \mathbf{p}_1 & \cdots & \mathbf{p}_{\tau_r-1} \end{bmatrix}$, and $\mathbf{L} = \begin{bmatrix} \mathbf{l}_0 & \mathbf{l}_1 & \cdots & \mathbf{l}_{\tau_r-1} \end{bmatrix}$. To mitigate the detrimental effect of residual noise $\mathbf{n}_\tau$, the proposed scheme estimates the average of residual noise at the zero-taps of $\mathbf{p}_\tau$. At the $\tau_r$th entry of $\mathbf{p}_\tau$, the zero-tap can be detected as

$$I_{\tau_r} = \begin{cases} 1 & \text{if } \mathbf{L}^H \mathbf{P} \mathbf{L}_{\tau_r} < \eta_{\tau_r} \\ 0 & \text{otherwise} \end{cases},$$

where $\eta_{\tau_r} = \frac{1}{\tau_r} \sum_{\tau_r=0}^{\tau_r-1} \mathbf{L}^H \mathbf{P} \mathbf{L}_{\tau_r}$ is defined as a threshold value for the zero-tap detection. Then, the average of residual noise at the zero-tap can be estimated as

$$\bar{\mathbf{n}}_{\tau_r} = \frac{1}{\tau_r} \sum_{\tau_r=0}^{\tau_r-1} \mathbf{L}^H \mathbf{P} \mathbf{L}_{\tau_r},$$

where $\sum_{\tau_r=0}^{\tau_r-1} \mathbf{L}^H \mathbf{P} \mathbf{L}_{\tau_r}$ represents the total number of detected zero-taps. With the mitigation of residual noise, the $\tau_r$th tap of the PDP estimate, $\hat{\mathbf{p}}_{\tau_r}$, can be expressed as

$$\hat{\mathbf{p}}_{\tau_r} = \begin{cases} \bar{\mathbf{n}}_{\tau_r} & \text{if } I_{\tau_r} > \tau_r \tau_r \\ 0 & \text{otherwise} \end{cases}.$$

Then, the estimated PDP in (15) can be used to obtain the frequency-domain channel correlation in the LMMSE channel estimator.

**IV. PERFORMANCE AND COMPLEXITY ANALYSIS**

The LMMSE channel estimator with the imperfect PDP in (15) is given by

$$\mathbf{W}_{\mathbf{CIR}} = \mathbf{F}_K \hat{\mathbf{p}}_{\tau_r} \mathbf{F}_n \mathbf{F}_n^H \hat{\mathbf{p}}_{\tau_r} \mathbf{F}_n = \mathbf{W}_{\mathbf{p}},$$

where $\mathbf{F}_K$ is the $\tau_r \times \tau_r$ matrix obtained by taking the first $\tau_r$ columns of the DFT matrix, $\hat{\mathbf{p}}_{\tau_r} = \mathbf{p}_{\tau_r} + \mathbf{n}_{\tau_r}$ is expressed as the estimated PDP, where the $\tau_r$th element of $\mathbf{p}_{\tau_r}$ is defined as

$$\mathbf{p}_{\tau_r} = \begin{bmatrix} \mathbf{p}_{\tau_r,0,0} & \cdots & \mathbf{p}_{\tau_r,0,\tau_r-1} \end{bmatrix}^H,$$

where $\tau_r > 1$ and $\mathbf{n}_{\tau_r}$ is the noise vector with $\mathbf{n}_{\tau_r}$ and $\mathbf{n}_{\tau_r}$ being zero. However, it is difficult for a receiver of practical MIMO-OFDM systems to obtain such a large number of samples. With an insufficient number of samples, the PDP can be approximated as $\mathbf{p}_{\tau_r} = \mathbf{0}$. To improve the accuracy of PDP estimation with insufficient samples, we mitigate the effective noise as follows

$$\hat{\mathbf{R}}_{\mathbf{CIR}} = \mathbf{W}_{\mathbf{CIR}} \mathbf{W}_{\mathbf{CIR}}^H + \mathbf{W}_{\mathbf{R},\mathbf{R}} \mathbf{W}_{\mathbf{R},\mathbf{R}}^H,$$

where $\mathbf{R}_{\mathbf{CIR}} = \mathbb{E}[\mathbf{W}_{\mathbf{CIR}} \mathbf{W}_{\mathbf{CIR}}^H]$ and $\mathbf{W}_{\mathbf{R},\mathbf{R}} = \mathbb{E}[\mathbf{W}_{\mathbf{R}} \mathbf{W}_{\mathbf{R}}^H]$ is defined as a residual noise vector, in which each entry has a zero-mean. Then, the error of PDP estimation with $\tau_r$ samples can be calculated as

$$\tilde{\mathbf{g}}_{\tau_r} = \mathbf{g}_{\tau_r} - \mathbf{g}_{\tau_r} \mathbf{R}_{\mathbf{CIR}}^{-1} \mathbf{R}_{\mathbf{CIR}} \mathbf{g}_{\tau_r}.$$

Since $|\mathbf{p}_{\tau_r}| = 0$ for all $\tau_r$, the PDP can initially be estimated as

$$\mathbf{p}_{\tau_r} = \mathbf{R}_{\mathbf{CIR}}^{-1} \mathbf{R}_{\mathbf{CIR}} \mathbf{g}_{\tau_r} = \mathbf{R}_{\mathbf{CIR}}^{-1} \mathbf{g}_{\tau_r},$$

where $\mathbf{R}_{\mathbf{CIR}}^{-1}$ is the sample vector of proposed PDP estimator with the $\tau_r$th entry

$$\mathbf{g}_{\tau_r} = \begin{cases} 0 & \text{if } \mathbf{L}^H \mathbf{P} \mathbf{L}_{\tau_r} = 0 \\ \mathbf{g}_{\tau_r} & \text{otherwise} \end{cases}.$$

![Figure 3: Performance of LMMSE technique using the estimated PDP over ETU channel.](image)

where $\mathbf{R}_{\mathbf{CIR}}^{-1} \mathbf{g}_{\tau_r}$ denotes the trace operation of $\mathbf{R}_{\mathbf{CIR}}^{-1}$. Using the error covariance matrix, the frequency-domain MSE of the proposed scheme is given by

$$\text{MSE}_{\text{LMMSE}} = \frac{1}{\mathbb{E}[\mathbf{S}(\mathbf{X})\mathbf{X}^H]} \mathbb{E}[\mathbf{S}(\mathbf{X}) \mathbf{X}^H],$$

where $\mathbf{H} = \mathbb{E}[\mathbf{S}(\mathbf{X})]$ denotes the trace operation of $\mathbf{S}(\mathbf{X})$ with a sufficiently large number of samples, $\mathbf{S}(\mathbf{X}) \rightarrow 0$. Thus, the MSE of the proposed scheme achieves that of Wiener filtering because $\mathbf{W}_{\mathbf{CIR}} = \mathbf{W}_{\mathbf{p}}$.

The additional complexity by the proposed PDP estimation technique is $\tau_r \times \tau_r \times \tau_r \times \tau_r \times \tau_r = \tau_r^4$, which mainly comes from computing (2) and (6). When the pilot spacing is fixed in the frequency domain, all entries of $\Phi_n$ and $\mathbf{1}$ are constant. Thus, $\mathbf{F}_K \hat{\mathbf{p}}_{\tau_r} \mathbf{F}_n$ and $\mathbf{F}_n^H \hat{\mathbf{p}}_{\tau_r} \mathbf{F}_n$ can be computed only once, and their values can be stored. The additional complexity is then reduced to $\tau_r + \tau_r \times \tau_r \times \tau_r = \tau_r^3$. 

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\[ H_{\text{pilot}} = \begin{cases} \lbrack h_k \rbrack & \text{if } |\theta_{i,j}| > 0 \\ 0 & \text{otherwise} \end{cases} \quad (17) \]

From the matrix inversion lemma \( \left( F_{\text{pilot}} \right)^{-1} \) in (22) is converted as
\[ \begin{align*}
A & \triangleq F_{\text{pilot}} \left( \sigma^2 \odot P \right) F_{\text{pilot}}^* + \sigma^2 I_{L_R} \\
B & \triangleq \left( \sigma^2 \odot P \right) F_{\text{pilot}}^* + \sigma^2 I_{L_R}
\end{align*} \]
Then, the coefficient matrix for LMMSE channel estimation with \( P \) can be rewritten as
\[ W_{\text{LMMSE}} = W_{\text{Wiener}} + W_{\text{IMMSE}} \quad (19) \]
where \( W_{\text{IMMSE}} \) is the coefficient matrix for Wiener filtering, and \( W_{\text{Wiener}} \) is given by
\[ W_{\text{Wiener}} = -F_{\text{pilot}} \left( \sigma^2 \odot P \right) F_{\text{pilot}}^* - \sigma^2 \]
\[ + F_{\text{pilot}} \left( \sigma^2 \odot P \right) F_{\text{pilot}}^* + \sigma^2 I_{L_R} \]

The error covariance matrix of LMMSE channel estimation with the imperfect PDP can be obtained as
\[ E_{\text{LMMSE}} = \left( F_{\text{pilot}} - W_{\text{Wiener}} \right) F_{\text{pilot}}^* + \sigma^2 I_{L_R} \]
\[ = \left( F_{\text{pilot}} - W_{\text{Wiener}} \right) F_{\text{pilot}}^* + \sigma^2 I_{L_R} \quad (20) \]

V. Simulation Results

We consider a MIMO-OFDM system with the physical layer parameters for the downlink of 3GPP LTE [14]. The system bandwidth is 5 MHz with 101 subcarriers for transmitting data information and pilots including a DC subcarrier at \( \nu = \frac{1}{2} \) carrier frequency. The width of each subcarrier is 15 kHz with an FFT size of 511. The MIMO-OFDM system utilizes four transmit and two receive antennas (\( \mathbf{C} = \{1, 2\} \)). We assume that the pilots of the four transmit antenna ports are distributed at the time and frequency grid of the LTE system in Fig. 1. The length of CP is 40 (\( \nu = \frac{1}{4} \)). For all simulations, the channel estimator is based on the channel delay parameter estimation during 14 OFDM symbols (\( \nu = 1 \)). We assume that the channel delay parameter estimation is perfect for all simulations. Note that the LMMSE technique using the estimated PDP outperforms the conventional methods, since the correlation mismatch is reduced by the proposed PDP.

We also observe from Fig. 3 that the proposed method has a performance loss within only a 2.4-dB gap, compared with 2 × 1D Wiener filtering.

In Fig. 3, we investigate the MSE performance of the proposed scheme over the exponentially power
decaying six-path Rayleigh fading channel model, where the channel maximum delay, $\tau_{n}$, is variable. The PDP of the channel model is defined as $f(t) = \sum_{n=0}^{4} \sum_{\Delta f} g_{n} \delta(t - nT - m\Delta f)$, for $\Delta f = f_{c}/2K$. Here, $g_{n} = \frac{1}{\sqrt{\Delta f}} N_{0}$ and $g_{n}$ is the normalization factor ($G_{n} = \frac{1}{\sqrt{\Delta f}}$). The performance of the proposed scheme is better than that of the conventional methods, and approaches that of Wiener filtering in various channel environments.

Figure 4 shows the MSE performance of the $2 \times 1$ DLMSE technique using the estimated PDP for different mobile equipment speeds at 30-L_\text{SNR}. All underlying links are modeled as ETU channels. In Fig. 4, it can be seen that the MSE of LMMSE technique using the estimated PDP achieves that of Wiener filtering even at high Doppler frequencies.

Figure 5 shows simulation and analysis results of the frequency-domain LMMSE channel estimation with various samples for obtaining the PDP at 20-L_\text{SNR} ($\Delta f = \Delta f_{c}/4C$). We assume that $2 \times 2$ MIMO-OFDM system over ETU channels with $70 - \Delta f_{c}$ Doppler frequency. The simulation results correspond to the channel estimation performance at the first OFDM symbol of antenna port 1 shown in Fig. 1. We obtain the analytic results in (22) by using the coefficient matrix for LMMSE channel estimation with the perfect or imperfect PDP at the antenna port. In Fig. 5, it is observed that the MSE of the proposed scheme improves the MSE performance with an increase in the number of samples for PDP estimation.

VI. Conclusions

We proposed a PDP estimation technique for the LMMSE channel estimator in MIMO-OFDM systems. The CIR estimates at each path of the MIMO channels were used to obtain the PDP. For accurate PDP estimation, we considered the spectral leakage effect from virtual subcarriers, and the residual noise caused by the insufficient number of estimated CIR samples. The proposed technique effectively mitigates both the spectrum leakage and residual noise. Simulation results show that the performance of LMMSE channel estimation using the proposed PDP estimate approaches that of Wiener filtering.

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