Optically Controlled Coupled Microstripline Microwave Power Attenuator

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Abstract: This paper presents an optically controlled coupled microstripline microwave power attenuator on a high resistivity silicon substrate in the 3GHz-7GHz frequency band. The structure is based on the 10dB microstrip directional coupler. Attenuation control is performed by optical control of the open end resistances. The open end act as a variable resistance under sufficient optical illumination conditions. The attenuator has been designed for 10dB and 25dB attenuation control for one-port and two port optical illuminations respectively. The analysis has been carried out for one port illumination using a 30mW, 850nm laser diode and two ports illumination using two 20mW, 650nm laser diode. The attenuator has been modeled by the Computer Simulation Technique’s Micro Wave Studio (CST MWS) 3D EM simulator. At 5GHz, the structure provides a continuous variation of S41 between 0 and 25dB with both open end illumination.

Keywords: Attenuator, Coupled Lines, CST MWS, Directional Coupler, Optical Control

I. Introduction

In the last years, there has been a great interest in the development of laser controlled systems because of the fact that the optical control of microwave devices offers high isolation between the controlling optical beam and the controlled microwave signal, short response time, high-power handling capacity, immunity to electromagnetic interference and low cost [1]. Optical control of microwave devices based on the photoconductivity effect. When a semiconductor is illuminated with a photon of the appropriate wavelength, an electron-hole pair is generated in the semiconductor substrate creating a variable load that changes the propagation characteristics in the microwave device. This change in characteristics has applications such as in tunable filters [2], phase shifters [3], and microwave matching techniques [4]. One of the new promising applications of microstrip technology is the optically controlled microwave attenuators [5]. Such optical control is based on the fact that when photons of energy greater than the band gap are incident on the silicon substrate, electron-hole plasma created by light absorption [6]. The optically generated plasma at the end of an open microstripforms an optically controlled load [7-8]. The main reason of using these devices is due to the demand of new and emerging applications, which leads to the development of circuits and subsystems in the optical range. In this paper, a modified directional coupler with two wide open-ended coupled lines is presented. The even-odd mode technique [9-10] is employed to analyze and synthesize such a structure. After that, the laser tuning is achieved by modifying the electrical length of the open-ended coupled lines. An optically controlled microwave attenuator using a microstrip directional coupler on high resistivity silicon substrate has been experimentally demonstrated by Haider et al. [11]. They used two ports illumination by a high power argon laser (600mW, 514nm) to obtain 10dB attenuation control at 6GHz in the frequency band 3-8GHz. However, neither an analytical nor a simulated model has been presented by them. In this paper we present investigations on one-port and two-port illuminated coupled microstrip attenuators on the high resistivity silicon substrate, in the frequency band 3-7GHz. One port illumination using a 30mW, 850nm laser diode and two ports illumination using two 20mW, 650nm laser diode has been done. The attenuator has been modeled by the Computer Simulation Technique’s Micro Wave Studio (CST MWS) 3D EM simulator [12], taking into account the SMA to microstrip transition.

II. Theory Of Optically Controlled Attenuator

The optical control of microstripattenuators based on the phenomenon of optical load discussed in [7-8]. When the open end on the microstrip line is illuminated by a laser spot, electron-hole pairs are created by light absorption spread into the substrate due to carrier diffusion to give an inhomogeneous carrier distribution in the illuminated substrate. The absorption and penetration depth of the plasma depend on the optical wavelength and substrate parameters. Such electron-hole plasma created at the end of the open microstrip line due to illumination by laser spot changes the dielectric constant within the illuminated region in the semiconducting substrate. The optically illuminated region can be considered as a cylinder filled with a dielectric constant of complex dielectric constant whose value varies with substrate depth and can be modeled as a capacitor with a lossy dielectric, which gives a complex capacitance. This leads to an equivalent circuit model
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of a capacitance in parallel to a resistance. The resistance decreases from several kilo-ohms in dark state to a few ohms with increase in optical intensity. Considering a uniform distribution of the photo induced charges, the relative complex dielectric constant in the microwave range can be written as

$$\varepsilon^* = \varepsilon' - j\varepsilon''$$

(1)

The real and imaginary part of the complex dielectric constant given by

$$\varepsilon' = \varepsilon_L - \frac{e^2\tau_p^2\left(\frac{p_i}{m_{pl}} + \frac{p_h}{m_{ph}}\right)}{\varepsilon_0\left(1 + \omega^2\tau_p^2\right)} + \frac{n_0 e^2\tau_e^2}{m_e\varepsilon_0\left(1 + \omega^2\tau_e^2\right)}$$

(2)

$$\varepsilon'' = \frac{e^2\tau_p\left(\frac{p_i}{m_{pl}} + \frac{p_h}{m_{ph}}\right)}{\varepsilon_0\left(1 + \omega^2\tau_p^2\right)} + \frac{n_0^2 e^2\tau_e}{m_e\varepsilon_0\omega\left(1 + \omega^2\tau_e^2\right)}$$

(3)

Where, $$\varepsilon_L$$ is the relative dielectric constant in the dark state, $$e$$ is electronic charge, $$\tau_p$$ and $$\tau_e$$ are the collision time for electron and hole, $$p_i$$ and $$p_h$$ are the densities of light and heavy holes, $$m_{pl}$$ and $$m_{ph}$$ are the effective mass of the light and heavy holes, respectively, $$\nu$$ is the microwave pulsation. The complex refractive index is expressed as follows [8]

The corresponding complex refractive index $$n^*$$ of illuminated silicon can be defined as

$$n^* = \eta - j\kappa$$

(4)

where, $$\eta$$ and $$\kappa$$ are the real and imaginary part of the refractive index, respectively, and are related to $$\varepsilon^*_0$$ by the relation

$$n^* = \varepsilon^*_0$$

(5)

complex propagation constant $$\beta^*$$ defined as

$$\beta^* = \beta - j\alpha = k_0\sqrt{\varepsilon' - j\varepsilon''} = k_0(\eta + j\kappa)$$

(6)

The real part $$\beta$$ is phase constant and imaginary part $$\alpha$$, the attenuation constant of the complex propagation constant of a wave. The quantity $$\beta/k_0$$ referred to as the slowing factor, defines the ratio of phase velocity in free space to phase velocity in the semiconductor

$$\delta$$ referred to skin depth and defined as

$$\delta = \frac{1}{\alpha}$$

(7)

$$\beta/k_0$$ and $$\alpha$$ increases as carrier concentration or frequency increases while, the skin depth $$\delta$$ decreases with either carrier concentration or frequency. [10]. If the conductivity becomes too large, the depth of the conducting region will be defined by the skin depth and not by the diffusion length. From the value of $$\beta^*$$, it can be seen that the presence of the plasma region alters the wave velocity. Another issue is that $$\alpha$$ increases together with $$\beta^*$$. This will obviously reduce the transmission coefficient. If the diffusion length is small compared to the absorption depth $$1/\alpha$$ the conductivity and resistance becomes
\[ \sigma(y) = (1 - R)e(\mu_n + \mu_p) \frac{S \lambda_p \tau}{hc} \left( \frac{P}{A} \right) e^{-\alpha y} \] (8)

\[ R = \frac{hc}{(1 - R)e(\mu_n + \mu_p) S \lambda_p \tau P} \left( e^{\alpha d} - 1 \right) \] (9)

In this case the optically induced resistance is controlled by radiation absorption characteristics of semiconducting substrate and radiation wavelength. The relation between optical power and optically induced resistance is given in table 1.

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**Figure 1** Optically Controlled Coupled Microstrip line Microwave Power Attenuator

**TABLE 1:** The relation between optical power and optically induced resistance

<table>
<thead>
<tr>
<th>Power (mW)</th>
<th>Resistance (Ω)</th>
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</thead>
<tbody>
<tr>
<td>5</td>
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</tr>
<tr>
<td>10</td>
<td>140.19</td>
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<tr>
<td>15</td>
<td>93.46</td>
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<tr>
<td>20</td>
<td>70.09</td>
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<td>25</td>
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<tr>
<td>30</td>
<td>46.73</td>
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<tr>
<td>35</td>
<td>40.05</td>
</tr>
<tr>
<td>40</td>
<td>35.04</td>
</tr>
</tbody>
</table>

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**III. Design and Simulation**

Fig. 1 shows the layout of the proposed attenuator. The primary structure is quarter wavelength 10dB directional coupler at the center frequency of 5GHz. The coupler consists of two conductor layers interleaved by one substrate between the conductor layers. The coupled microstrip lines designed on the high resistivity silicon substrate having dielectric constant 11.8 and resistivity 3000Ω-cm and thickness 285μm. The dimensions of the coupled lines are calculated from CAD oriented software called microwave office TCAD [13] using the even and odd mode impedances. In fig. 1, for the illumination the port 2 and port 3 left open. The port-1 is the input port and the isolated port-4 is the output port of the attenuator. In this configuration the four port device becomes a new two port device. Due to mismatch at the coupled port-2, the microwave signal gets reflected and appears at the output port-4. This reflection is a maximum under dark condition. With optical illumination at port-2, the load resistance reduces at port-2, which in turn reduces the power at the output port-4. The minimum available output power at the port-4 is determined by the directivity of the microstrip coupler. A large reflection occurs on the main line resulting into poor return loss because port-3 is open. In the fig. 2 above described phenomena can be seen. In fig. 2a when the device is four ports coupler maximum surface current density is on the through port3 and a coupling of 10 dB power is showing on port 2. In fig.2b when port 2 and 3 keep open the 10dB down current density is on output port 4. S11 can be improved by optical illumination of port-3, as the illumination creates a better optically controlled matched load. At the center frequency of the coupler, we can estimate S11 and S14 for a coupling coefficient C by the following expressions:
The propagation characteristics are calculated by the three-dimensional (3D) simulator CST Microwave Studio [12], based on the finite integration technique. The microstrip to coaxial transition produces discontinuities which in turn creates open end losses and non-smoothness in response of $S_{41}$ due to mismatch. The fig. 3 is the modeling of transition from SMA to microstrip. The SMA connector has been properly designed and modeled by us with LC network. This modeling provides smoother response for $S_{14}$.

We can obtain optical control of the attenuator either by one-port or by two-port illumination. In the case of one-port illumination either of the two ports, port-2 or port-3, can be illuminated while the other is open or terminated in a 50 $\Omega$ matched load. Fig. 3 shows, modeling of the SMA to microstrip line transition at both the input port-1 and the output port-4 by the LC-network. The values of $C_1$, $C_2$ and $L$ are 0.1pF, 0.3pF and 1.8nH respectively. Both open ends have been simulated by a parallel RC load with $R$ changing according to illumination level at port-2. The value of $C$ has been estimated by the open end discontinuity. The CST MWS simulated response of the attenuator with these terminations (Fig. 4) show that under the dark condition maximum $S_{41}$ is 0 dB at 4.5GHz and comes down to -8dB, i.e., 8dB attenuation control for the load change from 5000 $\Omega$ to 50 $\Omega$. The attenuation control is 10dB when load comes down to 30 $\Omega$. Moreover, modeling of transition by LC network disturbs the smoothness of response for $S_{41}$. On illumination of both the through and coupled ports, attenuation control more than 20dB could be obtained.

![Figure 2](image2.png)

**Figure 2:** Equivalent Circuit of Optically Controlled Coupled Microstrip line Microwave Power Attenuator with the SMA to microstrip transition.

![Figure 3](image3.png)

**Figure 3:** Current density distribution in (a) primary directional coupler and in (b) optically controlled attenuator when both port open (maximum power at port four).
IV. Results And Discussion

Fig. 4 and fig. 5 show the CST MWS simulated transmission responses for attenuator with illumination at port-2 and port-3 open. A changing optical resistance has been used at the place of optical illumination. The S21 of the transmitted wave depends strongly on the injected optical power. The magnitude of S21 not remains practically constant at one given frequency. The variable optical control has been obtained by changing resistances 30Ω, 50Ω, 100Ω, 500Ω, and 5000Ω, where 30Ω resistance means 20mW power and 5000Ω resistance corresponds to the dark condition. The nature of S41 and S11 showing the correctness of the attenuator model. The simulated results for S21 show two maxima, at 4.5GHz and 8GHz, with a dip at 7GHz. The S11 for port-2 illuminations gives dip at 4.6GHz and at 8GHz. Fig. 6 and fig. 7 show the port-3 illumination simulated results. S21 show the same pattern that is maxima at 4.5GHz and 8GHz, with a dip at 7GHz. But for the S11 it changes and gives vary low reflection for 500Ω resistance. For one port illumination whether it is at port-2 or at port-3, the simulation results show attenuation control of 10dB from the dark condition load resistance 5000Ω to the illuminated condition load resistance 30Ω. Fig. 8 and fig. 9 show results for both port-2 and port-3 are illuminated simultaneously by two independent 20mW, 650nm laser diodes. The Fig. 8 shows attenuation control of 25dB and fig. 9 shows that S11 degrades with increase in illumination. The non-smoothness in response of S41 is due to the transition from SMA to microstrip which has been modeled, by us with LC network. However, a properly designed transition can provide smoother response for S41. An attenuator designed for 6 dB coupling coefficient will provide better return loss. Thus, for a 10dB coupler S11 is -1.94dB and S41 is -4.4 dB, whereas, for a 6-dB coupler S11 improves to -6.06 dB and S14 is -12.35dB. Haider et al. [6] used a 6 dB coupling coefficient for their design. However, for ease in fabrication we used a 10dB coupling coefficient in our design of the optically controlled attenuator. With optical illumination at port-2, the load resistance reduces at port-2, which in turn reduces the power at the output port-4. The minimum available output power at the port-4 is determined by the directivity of the microstrip coupler. A large reflection occurs on the main line resulting into poor return loss because port-3 is open. S11 In fig. 5 the increasing optical illumination has been simulated by a decrease in load variation from 5000Ω (dark condition) to 50Ω. However, the return loss is not satisfactory. It can be improved up to 20dB for the case of illumination at port-2 by terminating port-3 in a 50Ω load with simultaneous improvement in attenuation control (10dB). The simulated results for the case of illuminated port-2 with port-3 open, show that the maximum coupling frequency shifts from 4.5GHz to 4.6GHz.

![Figure: 4 Variation of S21 when port 2 terminated with optical load and port 3 remain open](image-url)
**Figure 5** Variation of S11 when port 2 terminated with optical load and port 3 remain open

**Figure 6** Variation of S21 when port 3 terminated with optical load and port 2 remain open

**Figure 7** Variation of S21 when port 3 terminated with optical load and port 2 remain open
V. Conclusion

An optical control of 10dB and 20dB attenuation could be obtained by the one and two ports illumination respectively. In case of the one port illumination, the return loss could be improved by terminating the port-2 in the 50Ω matched load. In case of two ports illumination, return loss could be improved by designing the attenuator for 6dB coupling co-efficient. At 650nm illumination, optical load is modeled by a resistance. The transition from SMA to microstrip is modeled by LC network and CST MWS simulated results correctly predict behavior of the optically controlled attenuator.

Acknowledgements

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References

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